7

## **HOUSE BILL No. 6073**

November 29, 2012, Introduced by Rep. McMillin and referred to the Committee on Education.

A bill to create the office of the legislative education ombudsman; to prescribe the powers and duties of the office, the ombudsman, the legislative council, and the department of education; and to provide remedies from administrative acts.

## THE PEOPLE OF THE STATE OF MICHIGAN ENACT:

- 1 Sec. 1. As used in this act:
- (a) "Administrative act" includes an action, omission,
  decision, recommendation, practice, or other procedure of the
  department or of the superintendent.
  - (b) "Complainant" means a person who files a complaint under section 4.
  - (c) "Council" means the legislative council established under section 15 of article IV of the state constitution of 1963 and section 3 of the legislative council act, 1986 PA 268, MCL 4.1103.

- 1 (d) "Department" means the department of education.
- 2 (e) "Legislator" means a member of the senate or of the house
- 3 of representatives of this state.
- 4 (f) "Office" means the office of the legislative education
- 5 ombudsman created under this act.
- 6 (g) "Ombudsman" means the legislative education ombudsman.
- 7 (h) "Official" means an official or employee of the
- 8 department.
- 9 (i) "Superintendent" means the superintendent of public
- 10 instruction.
- 11 Sec. 2. (1) The office of the legislative education ombudsman
- 12 is created within the legislative council.
- 13 (2) The principal executive officer of the office of the
- 14 legislative education ombudsman is the legislative education
- 15 ombudsman, who shall be appointed by and serve at the pleasure of
- 16 the council.
- 17 Sec. 3. The council shall establish procedures for approving
- 18 the budget of the office, for expending funds of the office, and
- 19 for the employment of personnel for the office.
- 20 Sec. 4. (1) The ombudsman may commence an investigation upon
- 21 either of the following:
- 22 (a) Receipt of a complaint from a legislator or other person,
- 23 or on the ombudsman's own initiative, concerning an administrative
- 24 act which is alleged to be contrary to law or contrary to
- 25 departmental policy.
- 26 (b) The ombudsman's own initiative for significant matters for
- 27 which there is no effective administrative remedy.

- 1 (2) Subject to approval of the council, the ombudsman shall
- 2 establish procedures for receiving and processing complaints,
- 3 conducting investigations, holding hearings, and reporting the
- 4 findings resulting from the investigations.
- 5 Sec. 5. (1) Upon request and without the requirement of any
- 6 release, the ombudsman shall be given access to all information,
- 7 records, and documents in the possession of the department or
- 8 superintendent that the ombudsman considers necessary in an
- 9 investigation, including, but not limited to, student records.
- 10 (2) Upon request and without notice, the ombudsman shall be
- 11 granted entrance to inspect at any time any premises under the
- 12 control of the department.
- 13 (3) The ombudsman may hold informal hearings and may request
- 14 that any person appear before the ombudsman, or at a hearing, and
- 15 give testimony or produce documentary or other evidence which the
- 16 ombudsman considers relevant to a matter under investigation.
- Sec. 6. (1) The ombudsman shall advise a complainant to pursue
- 18 all administrative remedies open to the complainant. The ombudsman
- 19 may request and shall receive from the department a progress report
- 20 concerning the administrative processing of a complaint. After
- 21 administrative action on a complaint, the ombudsman may conduct
- 22 further investigation on the request of a complainant or on his or
- 23 her own initiative.
- 24 (2) The ombudsman is not required to conduct an investigation
- 25 on a complaint brought before the ombudsman. A person is not
- 26 entitled as a right to be heard by the ombudsman.
- 27 Sec. 7. Upon receiving a complaint from a legislator or

- 1 another person under section 4 and deciding to investigate the
- 2 complaint, the ombudsman shall notify the complainant, the person
- 3 or persons affected, and the department. If the ombudsman declines
- 4 to investigate, the ombudsman shall notify the complainant, in
- 5 writing, and inform the complainant of the reasons for the
- 6 ombudsman's decision.
- 7 Sec. 8. Upon request of the ombudsman, the council may hold a
- 8 hearing. The council may administer oaths, subpoena witnesses, and
- 9 examine the books and records of the department or superintendent
- 10 or of a person, partnership, or corporation involved in a matter
- 11 that is or was a proper subject of investigation by the ombudsman
- 12 under this act, as provided under section 4 of the legislative
- 13 council act, 1986 PA 268, MCL 4.1104.
- 14 Sec. 9. (1) The ombudsman shall maintain secrecy with respect
- 15 to all matters in an investigation and shall not disclose the
- 16 identities of the complainants or persons from whom information is
- 17 acquired, except so far as disclosures may be necessary to enable
- 18 the ombudsman to perform the duties of the office and to support
- 19 any recommendations resulting from an investigation.
- 20 (2) A report prepared and recommendations made by the
- 21 ombudsman and submitted to the council under section 10 are exempt
- 22 from disclosure under the freedom of information act, 1976 PA 442,
- 23 MCL 15.231 to 15.246.
- Sec. 10. (1) The ombudsman shall prepare and submit a report
- 25 of the findings of an investigation and make recommendations to the
- 26 council within 30 days after completing the investigation if the
- 27 ombudsman finds any of the following:

- 1 (a) A matter that should be considered by the department.
- 2 (b) An administrative act that should be modified or canceled.
- 3 (c) A statute or rule that should be altered.
- 4 (d) Administrative acts for which justification is necessary.
- 5 (e) Significant health and safety issues as determined by the
- 6 council.
- 7 (f) Any other significant concerns as determined by the
- 8 council.
- 9 (2) Subject to section 11, the council may forward the report
- 10 prepared and submitted under this section to the department, the
- 11 person or persons affected, or the complainant who requested the
- 12 report.
- 13 Sec. 11. Before announcing a conclusion or recommendation that
- 14 expressly or by implication criticizes a person or the department,
- 15 the ombudsman shall consult with that person or the department.
- 16 When publishing an opinion adverse to the department or any person,
- 17 the ombudsman shall include in that publication a statement of
- 18 reasonable length made to him or her by the department or person in
- 19 defense or mitigation of the action if that statement is provided
- 20 within a reasonable period of time as determined by the council.
- 21 The ombudsman may request to be notified by the department, within
- 22 a specified time, of any action taken on any recommendation
- 23 presented. The ombudsman shall notify the complainant of the
- 24 actions taken by the office and by the department or
- 25 superintendent.
- Sec. 12. The ombudsman shall submit to the council and the
- 27 legislature an annual report on the conduct of the office.

- 1 Sec. 13. (1) A person shall not be penalized in any way by an
- 2 official or the department as a result of filing a complaint,
- 3 complaining to a legislator, or cooperating with the ombudsman in
- 4 investigating a complaint.
- 5 (2) A person or the department shall not hinder the lawful
- 6 actions of the ombudsman or employees of the office or willfully
- 7 refuse to comply with lawful demands of the office.
- 8 Sec. 14. The authority granted the ombudsman under this act is
- 9 in addition to the authority granted under the provisions of any
- 10 other act or rule under which the remedy or right of appeal or
- 11 objection is provided for a person or any procedure provided for
- 12 the inquiry into or investigation of any matter. The authority
- 13 granted the ombudsman does not limit or affect the remedy or right
- 14 of appeal or objection and shall not be considered to be part of an
- 15 exclusionary process.

06644'12 Final Page TAV